

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

5468 BP/M521

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/528,502

INTERNATIONAL APPLICATION NO.  
PCT/DE2003/001116

INTERNATIONAL FILING DATE  
31 March 2003

PRIORITY DATE CLAIMED  
September 20, 2002

TITLE OF INVENTION  
SPOTLIGHT

APPLICANT(S) FOR DO/EO/US  
DORNER, Thomas

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 23 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A power of attorney and/or change of address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
20. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
22. ☒ Express Mail Label No. EV 326706947 US
23. ☐ Other items or information:

|  |              |  |            |                          |         |
|--|--------------|--|------------|--------------------------|---------|
| U.S. APPLICATION NO (if known, 37 CFR 1.5)   |              | INTERNATIONAL APPLICATION NO.  |            | ATTORNEY'S DOCKET NUMBER |         |
| 10/528,502   |              | PCT/DE2003/001116  |            | 54651/DBP/M521           |         |
| The following fees are submitted:  |              |  |            | CALCULATIONS             | PTO USE |
| 24. <input type="checkbox"/> Basic national fee  |              |  |            | \$300                    | \$0.00  |
| 25. <input type="checkbox"/> Examination fee<br>If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4).  |              |  |            | \$100                    | \$0.00  |
| All other situations.  |              |  |            | \$200                    |         |
| 26. <input type="checkbox"/> Search fee<br>Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority   |              |  |            | \$100                    |         |
| International Search Report prepared and provided to the Office  |              |  |            | \$400                    |         |
| All other situations.  |              |  |            | \$500                    | \$0.00  |
| TOTAL OF 24, 25 and 26 =   |              |  |            | \$                       | \$0.00  |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |              |  |            |                          |         |
| Total Sheets   | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole) | RATE       |                          |         |
| - 100 =  | 0 /50 =      | 0  | x \$250.00 | \$                       | \$0.00  |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).  |              |  |            | \$                       |         |
| CLAIMS   | NUMBER FILED | NUMBER EXTRA   | RATE       |                          |         |
| Total claims   | - 20 =       | 0  | x \$50.00  | \$                       | \$0.00  |
| Independent claims   | - 3 =        | 0  | x \$200.00 | \$                       | \$0.00  |
| MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00  |              |  |            | \$                       | \$0.00  |
| TOTAL OF ABOVE CALCULATIONS =  |              |  |            | \$                       | \$0.00  |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   |              |  |            | \$                       | \$0.00  |
| SUBTOTAL =   |              |  |            | \$                       | \$0.00  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  |              |  |            | \$                       | \$0.00  |
| TOTAL NATIONAL FEE =   |              |  |            | \$                       | \$0.00  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +  |              |  |            | \$                       | \$0.00  |
| TOTAL FEES ENCLOSED =  |              |  |            | \$                       | \$0.00  |
|  |              |  |            | Amount to be             | \$      |
|  |              |  |            | Amount to be             | \$      |
| a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.   |              |  |            |                          |         |
| b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.   |              |  |            |                          |         |
| c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>03-1728</u> . A duplicate copy of this sheet is enclosed.                                |              |  |            |                          |         |
| d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.               |              |  |            |                          |         |
| <b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.  |              |  |            |                          |         |
| SEND ALL CORRESPONDENCE TO:  |              |  |            |                          |         |
| CUSTOMER NUMBER 23363  |              |  |            |                          |         |
| D. Bruce Prout   |              |  |            |                          |         |
| Christie, Parker and Hale, LLP   |              |  |            |                          |         |
| P.O. Box 7068  |              |  |            |                          |         |
| Pasadena, CA 91109-7068  |              |  |            |                          |         |
|  |              |  |            | SIGNATURE                |         |
|  |              |  |            | D. Bruce Prout           |         |
|  |              |  |            | NAME                     |         |
|  |              |  |            | 20, 958                  |         |
|  |              |  |            | REGISTRATION NUMBER      |         |

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**EXPRESS MAIL NO. EV 326706947 US**

Applicant : Thomas Dorner  
Application No. : 10/528,502  
Filed : March 18, 2005  
Title : SPOTLIGHT

Confirmation No. N/A

Grp./Div. : N/A  
Examiner : N/A

Docket No. : 54651/DBP/M521

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
37 CFR § 1.97(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Post Office Box 7068  
Pasadena, CA 91109-7068  
May 25, 2005

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing references that is known to applicant. Copies of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).


It is respectfully requested that the listed reference be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered

**Application No. 10/528,502**

in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 

D. Bruce Prout

Reg. No. 20,958

626/795-9900

DBP/djp

Enclosures: PTO/SB/08A/B, w/reference

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|   |                               |                       |
|---|-------------------------------|-----------------------|
| <b>FORM PTO/SB/08A/B (10-01)</b><br>Substitute for PTO-1449A/B<br><br><b>INFORMATION DISCLOSURE</b><br><br><b>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) | <b>Attorney Docket Number</b> | <b>54651/DBP/M521</b> |
|   | Application Number            | 10/528,502            |
|   | Filing Date                   | March 18, 2005        |
|   | Applicant(s)                  | Thomas Dorner         |
|   | Group Art Unit                | N/A                   |
|   | Examiner Name                 | N/A                   |

| U.S. PATENT DOCUMENTS |                       |   |                                |                  |
|-----------------------|-----------------------|---|--------------------------------|------------------|
| EXAMINER INITIALS     | Cite No. <sup>1</sup> | DOCUMENT NUMBER<br>Number - Kind Code <sup>2</sup> (If Known) | PUBLICATION DATE<br>MM-DD-YYYY | NAME OF PATENTEE |
|                       |                       |   |                                |                  |

| FOREIGN PATENT DOCUMENTS |                       |   |                                |  |                             |
|--------------------------|-----------------------|---|--------------------------------|--|-----------------------------|
| EXAMINER INITIALS        | Cite No. <sup>1</sup> | Foreign Patent Document<br>Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup><br>(If Known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | T <sup>6</sup><br>(✓)       |
|                          |                       | D 1 792 109 U (previously filed on March 18, 2005)  | 07/16/1959                     |  | Partial English translation |
|                          |                       |   |                                |  |                             |

| OTHER DOCUMENTS   |                       |  |
|-------------------|-----------------------|--|
| EXAMINER INITIALS | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.                     |
|                   |                       | English translation of International Preliminary Examination Report, dated April 20, 2004, for International Application No. PCT/DE2003/001116, International filing date of March 31, 2003, in the name of Arnold & Richter Cine Technik GmbH & Co. Betriebs KG |

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|   |  |                 |  |
|---|--|-----------------|--|
| EXAMINER SIGNATURE  |  | DATE CONSIDERED |  |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached. |  |                 |  |

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE